

533 Rec'd PTO 22 AUG 2001

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tasuku HONJO et al.

Appln. No.: 09/674,330

Group Art Unit: 1646

Confirmation No.: 5233

Examiner: NOT YET ASSIGNED

Filed: December 20, 2000

For: A NOVEL POLYPEPTIDE, A cDNA ENCODING THE POLYPEPTIDE AND
UTILIZATION THEREOF

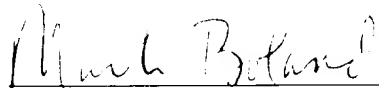
SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith please find 1 sheet of formal drawings (Fig. 1). The Examiner is respectfully requested to acknowledge receipt of these formal drawings.

Respectfully submitted,



Mark Boland

Registration No. 32,197

SUGHRUE, MION, ZINN,
MACPEAK & SEAS, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

Date: August 22, 2001

18/22/01 #8

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Tasuku HONJO et al.

Appln. No.: 09/674,330

Confirmation No.: 5233

Filed: December 20, 2000

Group Art Unit: 1646

Examiner: NOT YET ASSIGNED

For: A NOVEL POLYPEPTIDE, A cDNA ENCODING THE POLYPEPTIDE AND UTILIZATION THEREOF

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following correction(s):

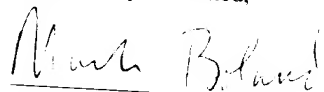
In the section of Foreign Applications, please change "04/28/99" to --04/28/98--.

Verification for the requested correction(s) is indicated on the original Declaration and Power of Attorney filed December 20, 2000.

SUGHRUE, MION, ZINN,
MACPEAK & SEAS, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

Date: August 22, 2001

Respectfully submitted,

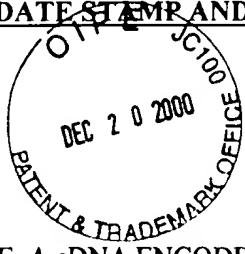


Mark Boland
Registration No. 32,197

30

FILING RECEIPT
PLEASE DATE STAMP AND RETURN TO US - BOX 235X

In re application of
Tasuku HONJO , et al.
Appln. No. 09/674,330
Filed: October 30, 2000



Group Art Unit: NOT YET ASSIGNED
Examiner: NOT YET ASSIGNED

For: A NOVEL POLYPEPTIDE, A cDNA ENCODING THE POLYPEPTIDE AND UTILIZATION THEREOF

PAPER(S) FILED ENTITLED:

1. Submission of Executed Declaration (in duplicate) with executed Declaration and Power of Attorney, copy of "Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)", and a check in the amount of \$130.00
2. Executed Assignment with PTO Form 1595 and a check in the amount of \$40.00

SUGHRUE, MION, ZINN,
MACPEAK & SEAS, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3212
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

DOCKET NO.: Q61536
ATTORNEY/SEC: MXB/alb

Date Filed: December 20, 2000



UNITED STATES PATENT AND TRADEMARK OFFICE

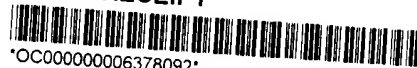
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/674,330	12/20/2000	1646	1260	Q61536	1	13	1

Sughrue Mion Zinn Macpeak & Seas
2100 Pennsylvania Avenue NW
Washington, DC 20037

CONFIRMATION NO. 5233

FILING RECEIPT



OC00000006378092

Date Mailed: 08/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Tasuku Honjo, Kyoto, JAPAN;
Kei Tashiro, Kyoto, JAPAN;
Tomoyuki Nakamura, San Diego, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP99/02283 04/28/1999

Foreign Applications

JAPAN 10-119731 04/28/1999

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

Novel polypeptide, cDNA encoding the same and utilization thereof

Preliminary Class

530

Data entry by : NGUYEN, SON

Team : OIPE

Date: 08/02/2001

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231